



DNP DO
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BUREAU OF THE FISCAL SERVICE

Welcome to Do Not Pay Day

July 23, 2019



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Do Not Pay Day Agenda

Tuesday, July 23, 2019		
9:00 am - 9:15 am	Welcome and Introductions	Jon Ortiz/Dominique McCreary
9:15 am - 9:45 am	Agency Engagement	Angélique Bridges
9:45 am - 10:30 am	Portal Enhancements & Demo	Dominique McCreary
10:30 am - 10:45 am	BREAK	
10:45 am - 11:30 am	Analytics	Kim Derleth/Nicole Simmons
11:30 am - 12:00 pm	Payment Integrity Center	Kevin McDaniels
12:00 pm - 1:00 pm	LUNCH	
1:00 pm - 1:45 pm	Data Sources	Derek Pachla
1:45 pm - 2:30 pm	CMA	Angélique Bridges
2:30 pm - 2:45 pm	BREAK	
2:45 pm - 3:15 pm	Lessons Learned/Panel Discussion	Jon Ortiz
3:15 pm - 3:45 pm	Questions/Closeout	All



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Do Not Pay Business Center

Agency Engagement
Introduction to DNP

Angélique Bridges
July 23, 2019

Do Not Pay Authorities

- The Improper Payments Elimination and Recovery Improvement Act of 2012 (IPERIA)
 - Established the Do Not Pay (DNP) Initiative
 - The Office of Management and Budget (OMB) designated Treasury to host a working system to assist agencies in identifying and preventing improper payments.
 - Treasury's Bureau of the Fiscal Service (Fiscal Service) operates the DNP Business Center
- OMB Provides Direction:
 - OMB issues guidance for the DNP program but retains program authority
 - OMB must designate additional databases for use in DNP

Authorities (cont.)

- The Federal Improper Payments Coordination Act of 2015 (FIPCA) expanded the IPERIA authority for the legislative and judicial branches, as well as states and other entities of the U.S. to use the Do Not Pay Initiative, including the Business Center, for the purpose of verifying payment or award eligibility for payments.
 - States can access the DNP Initiative for purpose of verifying improper payments after OMB determines that DNP “is appropriately established” for that state (per FIPCA)

Do Not Pay Goals

Provide *timely, accurate, and actionable information* about payees and payments to assist agencies in identifying, preventing, and stopping improper payments.

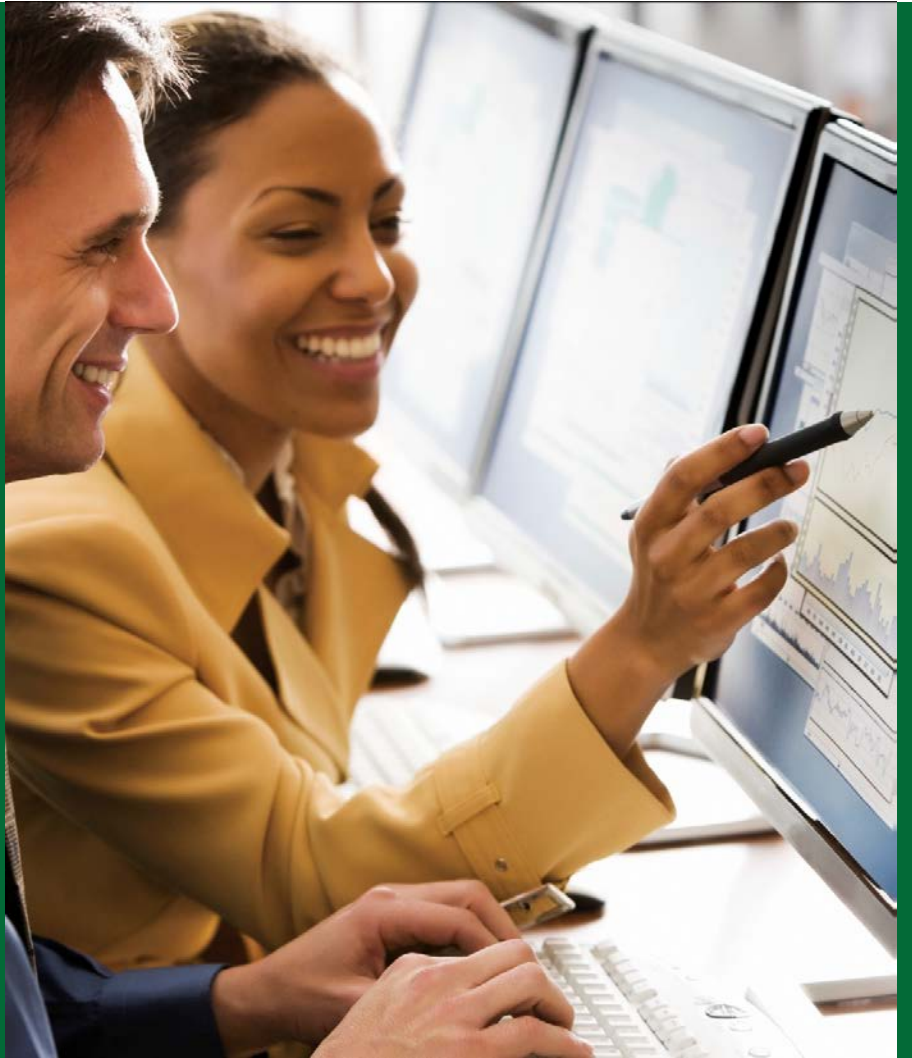
Develop and assist agencies in implementing *cutting edge techniques* to protect the integrity of payments and awards.

Innovate and introduce new tools and strategies to assist agencies in identifying and preventing a wider variety of improper payments.

Provide *outstanding service* to DNP customers.

What is the DNP Business Center?

- A resource for federal agencies and federally funded state administered programs at no-cost to the agencies
- Centralized access to relevant data sources for verifying payment or award eligibility
- Customized data analysis to help agencies detect fraud waste and abuse and strengthen internal controls



Do Not Pay Business Center Benefits

- Helps agencies verify eligibility pre-award and pre-payment
- Streamlines data management
- Meets existing federal data security and privacy



DNP Business Center Components



Web-based Portal

The DNP Portal lets you search available data sources at no cost.

The web-based portal has four ways to deliver match results to you: Online Search, Batch Matching, Continuous Monitoring, and Payment Integration.



Data Analytics

Data Analytics provides FREE advanced payment analysis services to federal agencies to help combat improper payments.



Agency Support

DNP support staff is here to help you get started, choose the options that are right for you, and to assist you with using the Do Not Pay Business Center.

DNP and the Payment Life Cycle



Pre-award

Before you start to pay, search DNP to make sure it's okay to pay this person or company.

Pre-payment

Check again throughout the time this person or company is getting payments, or set up to monitor their eligibility. You can also research matches.

At Time of Payment

Agency payments submitted to Treasury via the PAM file are automatically matched against available death data sources through Payment Integration.

Post-payment

Use Data Analytics to get reports and see trends. Use the reports to fix any problems and improve your service.

Do Not Pay Portal

Free web-based Portal and central location for **improper payment** related data needs



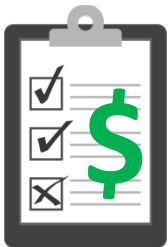
Online Search

User can search an individual or entity and view matching records



Batch Match & Continuous Monitoring

User sends a file(s) of payees to be matched against DNP sources -- available as a one time or continuous match service



Payment Integration

Displays payments disbursed by Treasury that matched against DNP death data sources – user can review and/or adjudicate the matches

Available Data Sources

American InfoSource Death Data - Commercial

- Commercial data source which contains information on deceased individuals obtained from more than 3,000 funeral homes and thousands of newspapers, as well as county-level probate records

Department of Defense Death Records - Public

- Contains records of individuals in active or reserve military duty, including whether an individual is deceased

Department of State Death Records - Public

- Contains records of American Citizens who are deceased or presumed deceased in foreign countries, as reported by U.S. embassies or consulates upon its receipt of a foreign death certificate or finding of death by a local competent authority

Death Master File (DMF) - Public (SSA)

- Administered by the National Technical Information Services (NTIS). Contains records of deceased individuals as reported by family members, funeral homes, hospitals, federal agencies, postal authorities, and financial institutions. Public version does not include state-reported deaths

Credit Alert System (CAIVRS) - Restricted (DOJ, HUD, SBA, USDA, & VA)

- Contains records of individuals with federal debt in delinquent or default.
- Verify whether an individual is a delinquent federal borrower

Available Data Sources

Treasury Offset Program (TOP) Debt Check - Restricted (Treasury)

- Contains records of individuals whose debt has been referred to Debt Management Services for collection.
- Verify whether a payee owes delinquent non-tax debts to federal government (and participating states)

List of Excluded Individuals and Entities (LEIE) (HHS) – Public & Restricted

- Verify whether payments are to individuals and entities currently excluded from participating in federal health care programs

Office of Foreign Assets Control (OFAC) - Public (Treasury)

- Contains records of individuals, groups, and entities which are under sanction by the federal government and therefore ineligible for payment

System for Award Management (SAM) Entity Registration Records - Restricted (GSA)

- Contains records of individuals and businesses authorized to enter into contracts with the federal government
- Verify whether payments are to debarred individuals

SAM Exclusion Records – Public & Restricted - (GSA)

- Contains records of individuals and businesses currently debarred from entering into contracts with the Federal Government under specific exclusions or registered to conduct business with the federal government
- Verify that a vendor seeking to do business with the federal government has registered, in accordance with the Federal Acquisition Regulation (FAR)

Data Sources Available in Data Analytics

In addition to data sources available in the Portal, Analytics also has access to:

Internal Revenue Service Tax-Exemption Lists

- Contains records of organizations eligible and ineligible to receive tax-deductible charitable contributions and grants targeting tax-exempt entities.
 - 990-N (e-Postcard) – Authorized organizations with under \$50,000 in contributions
 - Publication 78 – Authorized organizations with over \$50,000 in contributions
 - Automatic Revocation of Exemption List – Organizations whose tax-exempt status has been revoked

DNP Analytics Services

- Flexible projects types
 - Standard & Custom projects
- Flexible to your data needs
 - Use DNP data
 - Use data from your systems
 - Ability to pilot data sources
- Flexible to your business rules
 - Utilize your matching logic
 - Incorporate your specific eligibility requirements

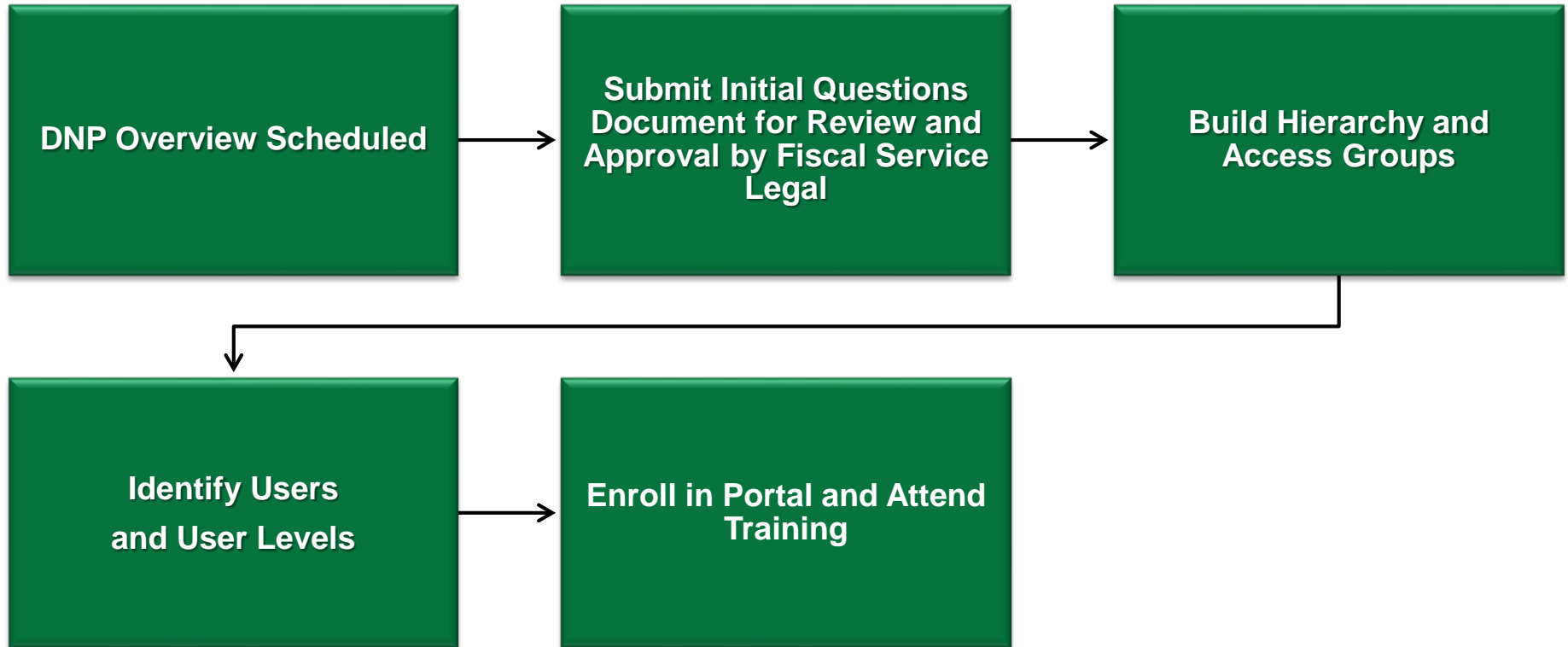


DNP Agency Support

- Provide customized Agency Outreach and Business Process solutions based upon agency needs:
 - Onboarding to Do Not Pay Portal
 - Training
 - Business Process Mapping
 - Focus Groups
 - User Community Events
 - Best Practices Forum
 - Grants Management Forum
 - DNP Pay Day
 - Help Desk Support



Onboarding Process



DNP Agency Support Center



Phone: 855-837-4391
Email: donotpay@fiscal.treasury.gov
Hours: 7 a.m. - 6 p.m. EST

Visit the Website at:
<https://fiscal.treasury.gov/dnp>

Questions





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Do Not Pay Business Center

DNP Enhancements: Working for the Future

Dominique McCreary
July 23, 2019

Learning Objectives

Purpose

- Purpose of Enhancements/Portal updates

Agency Feedback

- Platforms for generating ideas/concepts for improvement

Fiscal Year 2019 Enhancements

- What have we done lately

Upcoming Enhancements

- Future enhancements/Portal Re-architecture

Purpose

Improper Payments

- Address agency challenges with the reduction, detection, and mitigation of improper payments through continuous system development based on agency feedback.

Develop Metrics

- Enhance and develop reporting capabilities to further develop metrics.
- Use metrics and analysis to enhance the system functionality to better serve agency customers.

Ease of Use

- Ensure functionality coincides with agency business processes across government.
- Functionality seamlessly improve efficiency of daily tasks.

System Maturity

- System development aligns with Fiscal Service and customer agency needs and efforts to identify, prevent, and recover improper payments.

Agency Feedback

Who

- Current users
- Prospective users
- Agency leadership

How

- User Community Events
- Current Relationships
- Internal team analysis

What

- Innovative changes
- Align with industry standards
- Align with Agency business processes

Recent Focus Group: Aggregate Death Data

- The Focus Group Team met with each agency user for 30-45 minutes via conference call.
- The Team presented participants with Aggregated Death Data mock-ups. Users addressed questions and provided feedback in the following areas:
 - Look and feel of potential new screens
 - Benefit/impact of consolidating death data
 - Potential enhancements regarding match information
 - Preferred design/layout and functionality
- The Focus Group Team administered a survey of exact TIN and fuzzy name matches illustrating the type of “advanced” matches that could be presented in the portal. Each user addressed questions and provided feedback in the following areas:
 - Value of a fuzzy name match
 - What DNP functionality would be most suitable for this type of matching
 - Preferred exportable format

Recommendations

Aggregate Death Data

- Recommend aggregating all death data to display a consolidated death match in Online Search, Payments, Continuous Monitoring, and Batch Matching
 - Participants identified the benefits of having a more holistic view of death data sources for each match

Advanced Matching

- Recommend instituting Advanced Matching across Online Search, Payments, Continuous Monitoring, and Batch Matching
 - Participants communicated that advanced matching would allow them to capture/research items they normally would not
 - Additional matches would assist in making better determinations or recommendations for further research

System to System Integration

Web Service / Application Program Interface (API)

- Automation of manual processes
- Integration with agency financial systems to streamline the verification process of payees and payments
- Current functionality supports the verification of a single record.

Lets build together!



2018 - 2020 DNP Re-Architecture Release Projection

2018

- R5.5 (August 18, 2018) - Online Search Web Service

2019

- Batch Matching & Continuous Monitoring APIs
- New User Interface for Online Search
- Reports Web Service
- Payments Web Service
- 2nd New User Interface Release - Batch Matching/Continuous Monitoring Functionality

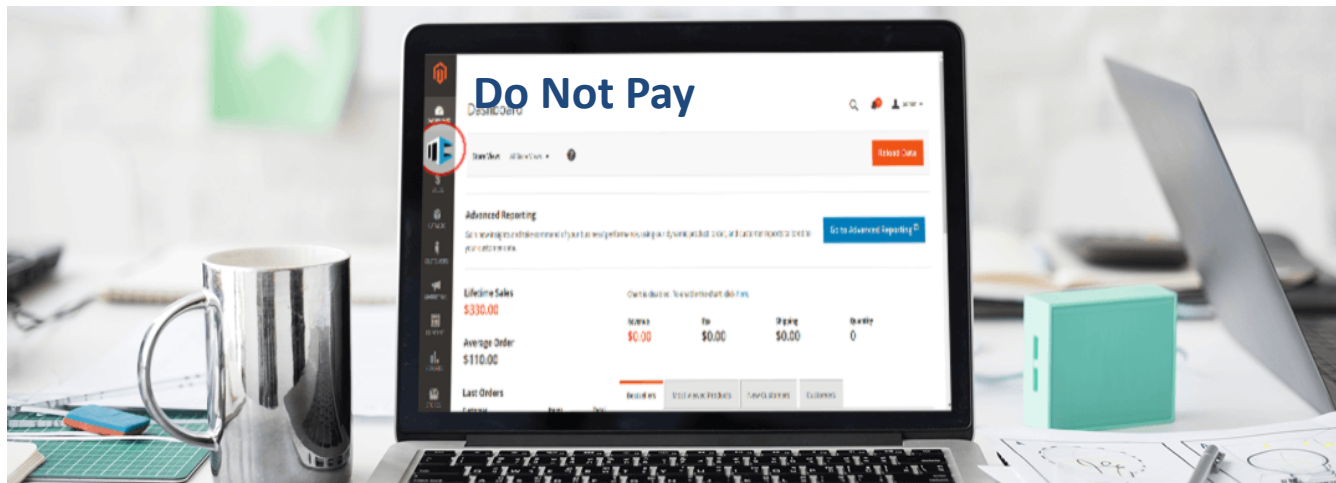
2020

- White List Management Web Service
- 3rd New User Interface Release - Reports
- 4th New User Interface Release - Payments
- Final New User Interface Release - All remaining functionality

Upcoming Enhancements

Release 6.0 August 3, 2019

- Online Search User Interface redesign
 - Updated search functionality and data source selection functionality
 - Re-architected search match results display layout
 - New match details display
 - Ability to provide feedback on Match results



Questions

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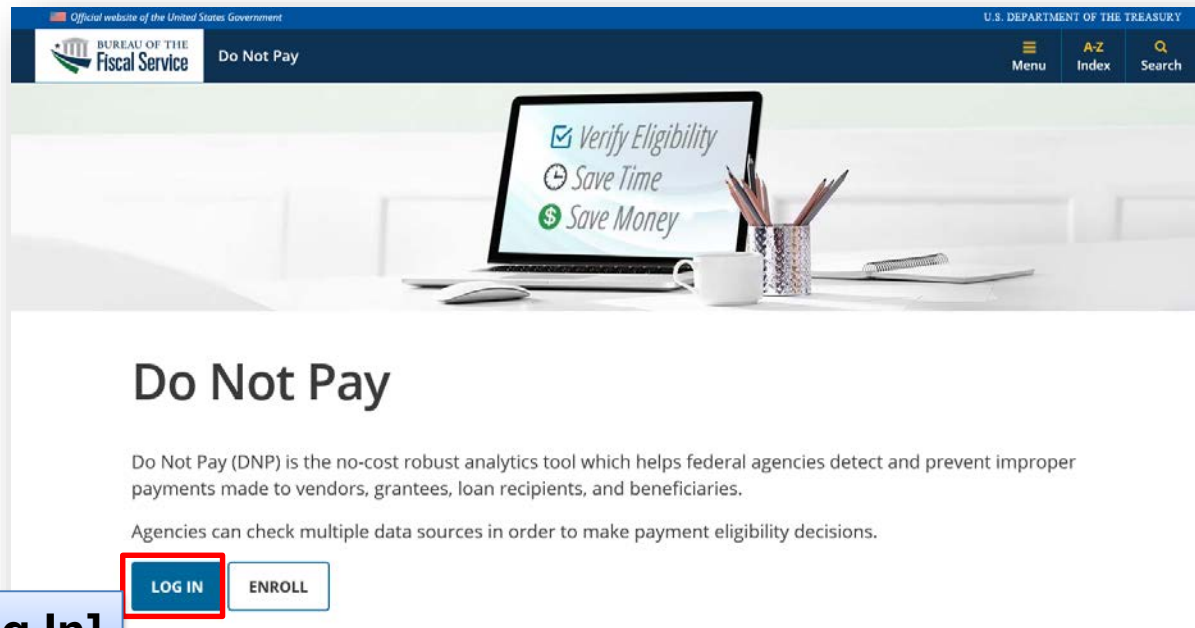
Demo



Logging In to the DNP Portal

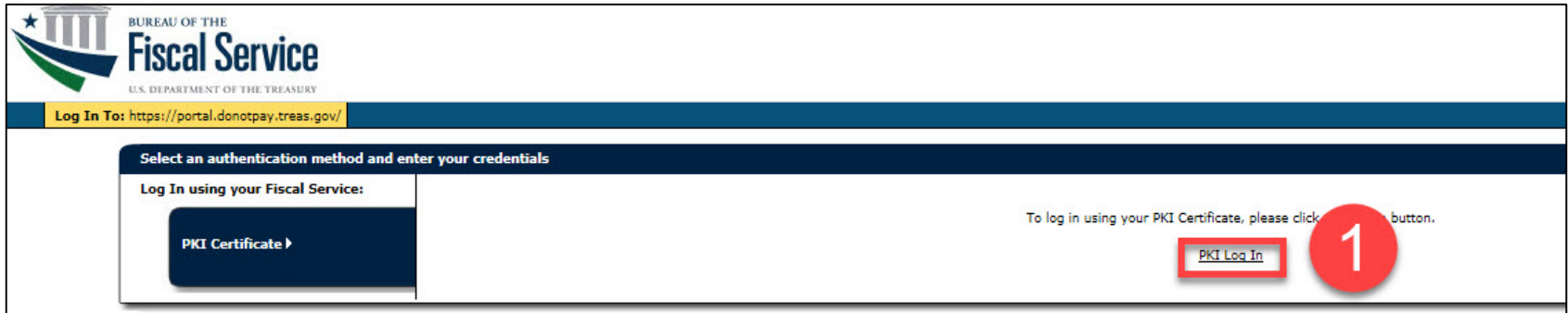
Type the Do Not Pay Portal address in your web browser:

<https://fiscal.treasury.gov/dnp/>



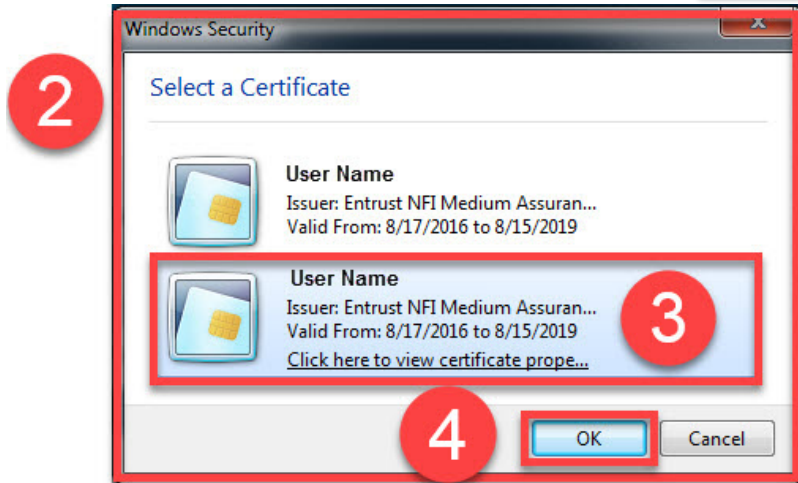
Click on [Log In]

Logging In: Security



1. Click on [PKI Log In]

2. Security window will display



3. Select PIV/PKI credential

4. Click [OK]

Logging In: Terms and Conditions

Terms and Conditions

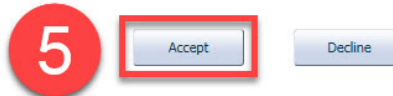
I have a need to know the information in the Do Not Pay Portal in order to perform my official job duties. I agree only to access the data that is necessary to perform those duties. I will not access Do Not Pay for fraudulent purposes. I acknowledge that browsing, or any unauthorized access of Do Not Pay data, constitutes a serious breach of the confidentiality of that information and may be subject to criminal and civil penalties.

I will make no unauthorized changes to data delivered to me from Do Not Pay. I will not use the information obtained from Do Not Pay, either inadvertently or deliberately for personal gain (for example, to initiate unauthorized refunds/ payments, or to wrongfully grant/deny rights, benefits or privileges).

While assessing and researching this site, I may be alerted to information on persons, entities, firms or subcontractors with whom I have a direct or indirect financial interest. In the event that I suspect or later become aware of a conflict of interest or financial interest, I agree to report this immediately to the appropriate Federal agency ethics official.

When I no longer have an official need for the Do Not Pay information, I will request that my access to the site be terminated.

I will not disclose any information from Do Not Pay to any unauthorized person. Any unauthorized disclosure of information, failure to disqualify myself from participation in any decision process in which I have a financial interest, or use of Do Not Pay for other than the specific authorized purpose may result in an investigation that could lead to disciplinary actions and other consequences including the loss of Do Not Pay access.



5. Click [Accept] to login to the Portal

Legacy Landing Page

The screenshot shows a web interface with several key elements highlighted by numbered callouts:

- 1**: A vertical navigation bar on the left side of the page.
- 2**: A top navigation bar containing buttons for "Contact Us", "kuitm001", and "Logoff".
- 3**: A search bar with input fields for "SSN/EIN/TIN", "First Name", "DUNS Number", "Plus 4", and "Business Name", along with "Search" and "Clear" buttons.
- 4**: A "Data Sources" section listing various data sources such as "American InfoSource Death Data - Obituary", "Credit Alert System", and "SAM Entity Registration Records".
- 5**: A "Search Tips" section providing guidance on how to use the search bar effectively.

New Landing Page

The screenshot displays the New DNP Portal Landing Page with several key features highlighted by numbered callouts:

- 1**: Navigation bar on the left side of the page.
- 2**: Contact, Logoff, and Help buttons located in the top right corner.
- 3**: Online Search bar, which includes input fields for SSN/EIN/TIN, First Name, Last Name, DUNS, Plus 4, and Business Name, along with Search and Clear buttons.
- 4**: Data Sources selection panel, titled "3. Data Sources", listing various data sources with checkboxes for selection.
- 5**: Announcements section at the top left.
- 6**: Legacy Portal link at the top right.

Additional visible text on the page includes: "Welcome to the New DNP Portal Landing Page!", "Take Me to the Legacy Portal Page", "MCSR0001", "Logoff", "Contact Us", "ACTIVE BUT UNCLASSIFIED", "Online Search", "Enter SSN/EIN/TIN", "Enter First Name", "Enter Last Name", "Enter DUNS", "Enter Plus 4", "Enter Business Name", "Search", "Clear", "Select Data Sources", "x", "Select All", "American InfoSource Death Data - Obituary (AIS-OBIT)", "Dept of State Death Data (DOS)", "SAM Entity Registration Records (SAMENT)", "TOP Debt Check (DBCK)", "American InfoSource Death Data - Probate (AIS-PROB)", "List of Excluded Individuals/Entities - Public (LEIE-PUB)", "SAM Exclusion Records - Public (SAM-EXCL-PUB)", "Credit Alert System (CAIVRS)", "List of Excluded Individuals/Entities - Restricted (LEIE-RES)", "SAM Exclusion Records - Restricted (SAM-EXCL-RES)", "Dept of Defense Death Data (DOD)", "Office of Foreign Assets Control (OFAC)", and "SSA Death Master File (DMF)".

New Landing Page

The screenshot shows the 'New DNP Portal Landing Page'. At the top, there is a light blue header with 'Announcements' on the left and 'Welcome to the New DNP Portal Landing Page!' in the center. A red box highlights a blue link 'Take Me to the Legacy Portal Page' on the right. Below this is a dark blue navigation bar with 'SENSITIVE BUT UNCLASSIFIED' in white text, and 'Contact Us' and 'MCSR0001' on the right. The main content area is white and features an 'Online Search' section. A red circle with the number '7' and a blue box labeled 'Pop-ups' points to a search form. The form has four input fields: 'Enter First Name', 'Enter Last Name', 'Enter Plus 4', and 'Enter Business Name', followed by a blue 'Search' button. A blue pop-up box with a red border contains the text: 'New Batch Matching Coming Soon! To access the existing Batch Matching page, please click "Take Me to the Legacy Portal Page" located at the top of the page.' Below the search form is a 'Select Data Sources' section with a grid of checkboxes for various data sources, including 'Select All', 'American InfoSource Death Data - Obituary (AIS-OBIT)', 'Dept of State Death Data (DOS)', 'American InfoSource Death Data - Probate (AIS-PROB)', 'List of Excluded Individuals/Entities - Public (LEIE-PUB)', 'Credit Alert System (CAIVRS)', 'List of Excluded Individuals/Entities - Restricted (LEIE-RES)', 'Dept of Defense Death (DOD)', and 'Office of Foreign Assets (OFAC)'. A dark blue sidebar on the left contains icons for search, a dropdown menu, a dollar sign, a bar chart, and a clipboard.

Conducting an Online Search

1 Enter information in search fields

Enter SSN/EIN/TIN

Enter First Name
Lon

2 Missing requirements will change to red

Enter Last Name
Is required when First Name is provided.

Enter DUNS Enter Plus 4 Enter Business Name

Search Clear

3 Select Data Sources

4 Click [Search]

Select Data Sources

- Select All
- American InfoSource Death Data – Obituary (AIS-OBIT)
- Dept of State Death Data (DOS)
- SAM Entity Registration Records (SAMENT)
- TOP Debt Check (DBCK)
- American InfoSource Death Data – Probate (AIS-PROB)
- List of Excluded Individuals/Entities – Public (LEIE-PUB)
- SAM Exclusion Records – Public (SAM-EXCL-PUB)
- Credit Alert System (CAIVRS)
- List of Excluded Individuals/Entities – Restricted (LEIE-RES)
- SAM Exclusion Records – Restricted (SAM-EXCL-RES)
- Dept of Defense Death Data (DOD)
- Office of Foreign Assets Control (OFAC)
- SSA Death Master File (DMF)

Search Recommendations

	Three Fields	Two Fields	One Field
Individual	<ul style="list-style-type: none"> SSN/TIN + First Name + Last Name 	<ul style="list-style-type: none"> First Name + Last Name 	<ul style="list-style-type: none"> SSN/TIN
Entity	<ul style="list-style-type: none"> DUNS + Plus 4 + Business Name 	<ul style="list-style-type: none"> EIN/TIN + Business Name DUNS + Business Name DUNS + Plus 4 	<ul style="list-style-type: none"> EIN/TIN Business Name DUNS

Improved Online Search Logic

Old search logic will match records that *exactly* match both the SSN + Name

ONLINE SEARCH: ?

SSN/EIN/TIN	First Name	Last Name	DUNS Number	Plus 4	Business Name	Search	Clear
123456789	Mickey	Mouse					

[Want to start a new](#) [Do Not Pay Data Sources](#)

New search logic matches exact, SSN separately, and Name separately*

Online Search

Enter SSN/EIN/TIN: 123456789

Enter First Name: Mickey

Enter Last Name: Mouse

Enter DUNS: _____ Enter Plus 4: _____ Enter Business Name: _____

Search

Improved Online Search Logic: One Field

Enter SSN/EIN/TIN
171610719

Enter First Name _____ Enter Last Name _____

Enter DUNS _____ Enter Plus 4 _____ Enter Business Name _____

Search Clear

Select Data **1** Total Match Results **9** Not Searched **2**

Match Results **9** Search Date: 07/03/2019

SSN/EIN/TIN	DUNS Number	Plus 4	Business Name	Matched	Not Searched
171610719	-	-	-	AIS-OBIT, AIS-PROB, DMF, DOD, DOS, LEIE-RES, SAM-EXCL-RES	LEIE-PUB, OFAC, SAM-EXCL-PUB

3 Associated Name

AIS-OBIT - 1 Result Found

Matched Criteria	SSN/EIN/TIN ↑	Name	Birth Date	Death Date	
SSN/EIN/TIN	171610719	ADAM BOYER	/1951	11/14/2008	Show

AIS-PROB - 1 Result Found

Improved Online Search Logic: Two Fields

Enter SSN/EIN/TIN Enter First Name Enter Last Name

Enter DUNS Enter Plus 4 Enter Business Name

Select Data Source **1** **Total Match Results** **Not Searched** +

Match Results **11** Search Date: 07/03/2019 **2**

Matched	Not Searched
<ul style="list-style-type: none"> AIS-OBIT, AIS-PROB, DMF, DOD, DOS, LEIE-PUB, LEIE-RES, SAM-EXCL-PUB, SAM-EXCL-RES 	CAIVRS

AIS-OBIT - 1 Result Found **3** **Associated SSN/EIN/TIN** x

Matched Criteria	SSN/EIN/TIN	Birth Date	Death Date
NAME	171610719 ADAM BOYER	12/27/1951	11/14/2008 Show

Improved Online Search Logic: Three Fields

The screenshot displays a search interface with the following elements:

- Search Criteria:** Three input fields are highlighted with red boxes: "Enter SSN/EIN/TIN" (171610719), "Enter First Name" (Adam), and "Enter Last Name" (Boyer).
- Search Action:** A blue "Search" button and a grey "Clear" button are located to the right of the criteria fields.
- Match Results Summary:** A blue bar shows "Match Results" with a count of 11 (circled in red with a '1') and "Search Date: 07/03/2019".
- Matched Categories:** A red box highlights the "Matched" list: AIS-OBIT, AIS-PROB, DMF, DOD, DOS, LEIE-PUB, LEIE-RES, SAM-EXCL-PUB, SAM-EX-CL-RES.
- Not Searched Categories:** A red box highlights the "Not Searched" list: (empty).
- Action Buttons:** A blue button labeled "All Searched" (circled in red with a '2') is positioned to the right of the category lists.
- Results List:** Below the summary, three expandable result categories are shown: "AIS-OBIT - 1 Result Found", "AIS-PROB - 1 Result Found", and "DMF - 1 Result Found".

Improved Online Search Logic: Incorrect Fields

Enter SSN/EIN/TIN: 503126951 | Enter First Name: Adam | Enter Last Name: Boyer

Enter DUNS: | Enter Plus 4: | Enter Business Name: | Search | Clear

1 Total Match Results

Match Results: **4** | Search Date: 07/03/2019

SSN/EIN/TIN	DUNS Number	Plus 4	Business Name	Matched	Not Searched
503126951	-	-	-	CAIVRS, LEIE-PUB, SAM-EX-CL-PUB	-

CAIVRS - 2 Results Found

Matched Criteria	SSN/EIN/TIN	Agency Name	TIN
	503126951	SBA	948385026
	503126951	SBA	948385026

LEIE-PUB - 1 Result Found

Matched Criteria	Name	SSN/EIN/TIN
	ADAM BOYER	503126951

SAM-EXCL-PUB - 1 Result Found

AIS-OBIT: No results found

AIS-PROB: No results found

2 Different Entity

3 Associated SSN/EIN/TIN

4 Associated SSN/EIN/TIN

Match Results Page

Legacy

Contact Us kuitm001 Logoff

ONLINE SEARCH: DISPLAY RESULTS

SENSITIVE BUT UNCLASSIFIED

SSN/EIN/TIN First Name Last Name DUNS Number Plus 4 Business Name

mickey

Search Clear

New

1

Total Match Results

Sources matched

2

Results for SSN/EIN/TIN: First Name: mickey

Count	Data Source Name
0	CREDIT ALERT SYSTEM - as of 08/20/2019
0	LIST OF EXCLUDED INDIVIDUALS AND ENTITIES - as of 08/20/2019
1	LIST OF EXCLUDED INDIVIDUALS AND ENTITIES - as of 08/20/2019
1	OFFICE OF FOREIGN ASSETS CONTROL - as of 08/20/2019
0	SAM ENTITY REGISTRATION RECORDS - as of 08/20/2019
0	SAM EXCLUSION RECORDS - PUBLIC - as of 08/20/2019
0	SAM EXCLUSION RECORDS - RESTRICTED - as of 08/20/2019
1	SSA DEATH MASTER FILE - as of 11/20/2019
0	TREASURY OFFSET PROGRAM DEBT - as of 08/20/2019

Match Results

SSN/EIN/TIN - DUNS Number - Matched OFAC Not Searched CAIVRS

First Name Lon Plus 4

Last Name Suder Business Name -

Sources not searched

3

OFAC - 1 Result Found

5

AIS-OBIT No results found

AIS-PROB No results found

DBCK No results found

DMF No results found

DOS No results found

SAMENT No results found

CAIVRS Not Searched - Minimum search criteria not provided (SSN/EIN/TIN)

Sources with no matches

4

Click to expand

Match Results Page

Last Name Suder Business Name -

OFAC - 1 Result Found

Matched Criteria	Name ↑	Title	Type	Type Description
Name	LON SUDER		I	INDIVIDUAL Hide

2 Click on headings for details

Address	Identification	Date of Birth	Place of Birth	Citizenship
Nationality	Program			

Address 1: 714 S W
OLFE S
T
City: BALTIM
ORE
Postal Code: 21231

Match Results Page: Print and Save

Match Results 1
Summary

1
Results Summary + Details

SSN/EIN/TIN -
DUNS Number -
Matched OFAC
Not Searched CAIVRS

First Name Lon
Plus 4 -

DNP Portal Landing Page fff.portal.donotpay.treas.gov fff.portal.donotpay.treas.gov

File Edit Go to Favorites Help

SENSITIVE BUT UNCLASSIFIED

DO NOT PAY ONLINE SEARCH RESULTS

Date of Search: 06/25/2019

Requested by: MCSR0001

Access Group: INTERNAL HIERARCHY FOR DNP

Results for: SSN/EIN/TIN: First Name: Lon Last Name: Suder DUNS Number: Plus 4: Business Name:

1 RESULTS

Count	Data Source Name
0	AMERICAN INFOSOURCE DEATH DATA - OBITUARY - 01/31/2019
0	AMERICAN INFOSOURCE DEATH DATA - PROBATE - 01/31/2019
N/A*	CREDIT ALERT SYSTEM - 01/31/2019
0	DEPT OF DEFENSE DEATH DATA - 01/31/2019
0	DEPT OF STATE DEATH DATA - 01/31/2019
0	LIST OF EXCLUDED INDIVIDUALS AND ENTITIES - PUBLIC - 01/31/2019
0	LIST OF EXCLUDED INDIVIDUALS AND ENTITIES - RESTRICTED - 01/31/2019
1	OFFICE OF FOREIGN ASSETS CONTROL (OFAC) - 01/31/2019

2
Summary + Details

3
Summary Page

Match Results Page: Resolution Information

NO results found

Did this prevent an Improper Payment?

Amount saved

Payment frequency

Category

Search Outcome

Did this search prevent an improper payment?

Yes No

Dollars

Frequency

Payment Category

Comment

One-time
Daily
Monthly
Annual
Contract/Award Total
Other

Allotment
Annuity
Benefit
Child Support
Education
Fee
Insurance
Miscellaneous
Refund
Salary
Thrift
Travel
Vendor

Accessibility Privacy Policy Data Quality

SENSITIVE BUT UNCLASSIFIED

An Official Website of the United States Government

Submit



DNP DO
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Break

15 Minutes



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Do Not Pay Business Center

Analytics Services

Kimberly Derleth, FRB

Nicole Simmons, FRB

July 23, 2019

Who We Are

The **DNP Analytic Solutions Team** is dedicated to delivering ***analytical insights*** and ***innovative data solutions*** that help you improve your ***payment integrity*** and meet your mission.

Our team of professionals includes analytics consultants, data scientists, and data analysts with extensive experience in the federal landscape, all supported by teams with expertise in legal and privacy concerns, customer outreach, and project management.



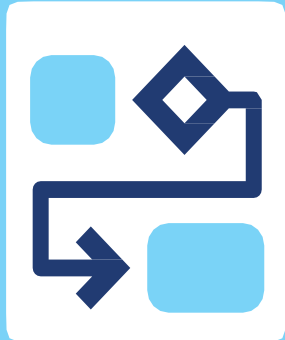
Our Services

Analytic Solutions Team services range from consultation to fully-integrated data solutions that can be customized to your business.



Our Techniques

We employ advanced **data analysis techniques**.



**Data
Matching**



**Data
Modeling**



**Risk
Assessment**



**Advanced
Algorithms**

Our Customers

You can utilize our **analytic services** to address:

Eligibility risk and payment integrity issues related to:

- Beneficiaries
- Vendors
- Travel Pay
- Payroll
- Service Providers
- Loans
- Grants
- Disaster Funds
- Loss Guarantee/Insurance



**Your Business
Problem Here**

Ensuring Payment Integrity

Data Quality

- TIN
- Name
- Address

Eligible Recipients

- Program Eligibility Requirements
- Valid Identity

Accurate Payments

- Non-Duplicative
- Correct Amount

Risk Mitigation

- Valid Internal Controls
- Strong Business Processes

The right payment to the right recipient at the right time for the right purpose.

Additional Customer-Driven Projects

<i>Project #1: Travel Pay Integrity Checks</i>	
Understand Business Problem	The customer has multiple systems engaging in travel approvals and travel payments and wanted to assess their system and process for potential vulnerabilities or process improvement opportunities.
Develop Customer-Centric Solution	We consulted with the customer to understand the system and the process of approvals as well as the data available for analysis. We obtained data extracts from their various systems, and then designed and tested many integrity checks aimed at identifying anomalous or suspicious activity. We also helped to validate their existing internal controls.
Implement Solution	We executed the integrity checks that were tailored to the customer's business process. Through bi-weekly discussions, we were able to bring their subject matter expertise into the analyses, pivoting when necessary, leading to a more insightful and useful set of results.
Measure Value	We provided detailed transactions to the customer that seemed to exhibit situations that were inconsistent with expected business practices. As a result of our analysis, the customer is taking recoupment action on \$38k duplicative travel payments. The customer is further investigating the results and will be able to take appropriate action based on the findings.
Improve	We are continuing to refine and expand the checks that we can apply to this customer's data. They are interested in a continued monitoring of the integrity checks, including expanding the sets of data that they provide for analysis.

Additional Customer-Driven Projects

Project #2: Prioritizing Third-Party Lender Training	
Understand Business Problem	The customer must audit their third-party lenders for adherence to program requirements. They don't have enough resources to audit or train all lenders in a timely fashion.
Develop Customer-Centric Solution	We partnered with the agency to identify the factors that would make a lender non-compliant with their program requirements. We designed and applied analyses on extracts of their program data to test against those factors. The analyses were rolled into a risk-based prioritization tool which allowed the agency to identify the lenders at most risk based on criteria of their choosing.
Implement Solution	The customer was able to interact with the tool and drill into the different risk factors. They could alter weights that defined how the risk factors rolled into the overall prioritization of lenders in need of training.
Measure Value	The agency was able to focus their resources on training the lenders that pose the highest program risk in order to be the most effective in carrying out their mission.
Improve	The detailed analyses also allowed the agency to identify opportunities for policy changes which would improve overall payment integrity in their program.

Additional Customer-Driven Projects

Project #3: Identifying Cross-Government Opportunities	
Understand Business Problem	The customer has a known improper payments issue in the form of improper overlapping benefits payments being paid to a singular beneficiary. They are unable to address this issue with the current data and solutions that they have available.
Develop Customer-Centric Solution	With purview across all Federal payment data, we were able to identify beneficiaries receiving concurrent payments from Federal benefit programs. We are designing a solution for the agency to be able to systemically identify and prevent overlapping benefits. To start, we designed analyses as a proof of concept for what the customer would be able to see and react to using our payment data and matching techniques. To do this, we partnered with the customer to define when overlap is legitimate and when it is improper so that our results indicate the highest-risk beneficiaries for their investigation.
Implement Solution	We analyzed payment data from three agencies who pay similar benefits and are known to have improper overlap. By using advanced matching algorithms, like similarity scoring, we were able to link additional payments for review; even in cases when beneficiaries are listed with different names within different agencies or when the agency is missing a Social Security Number for that payee.
Measure Value	The customer will be able to use the results of our analyses to work through their adjustment process for the \$15M identified overlapping payments. This will enable them to identify additional information that they would need to effectively and efficiently adjust payments or prevent overlapping payments in the future.
Improve	The detailed analyses helped the agency to see the extent of overlapping payments and identify the steps they have to take to adjust payments. We will be working to refine our analyses and techniques, and work through additional next steps, in order to turn the proof of concept into an operationalized solution for the agency.

Additional Customer-Driven Projects

Project #4: Expanding use of Data Sources	
Understand Business Problem	A shared-service provider needs to ensure that payments are going to eligible recipients on behalf of the agencies they service. They currently use a third-party death data source which they believe could be supplemented.
Develop Customer-Centric Solution	We received data from the customer and matched it to our death data sources to identify additional payees who appear to be deceased. This will allow the customer to identify additional potential improper payments to these payees. We were also able to help the customer quantify the inadequacies and data quality issues with the third-party data source.
Measure Value	We showed the customer that our death data sources would provide more accurate and actionable match results. We were able to recommend that the customer consider supplementing or replacing their current third-party data source with our death data. This change would save the shared-service provider valuable time and taxpayer dollars, and the gained efficiencies may also be transferred to the agencies they support.
Improve	We also learned from the customer that they performed dual verification of death notifications using internet searches. We will be able to offer this customer more automated and time-saving approaches to verifying death information.



BUREAU OF THE
Fiscal Service
U.S. DEPARTMENT OF THE TREASURY

Payment Integrity Center of Excellence:

*Working Collaboratively to Improve the
Integrity of Government Wide Transactions*

Kevin McDaniels
Philadelphia Financial Center
July 23, 2019

Learning Objectives

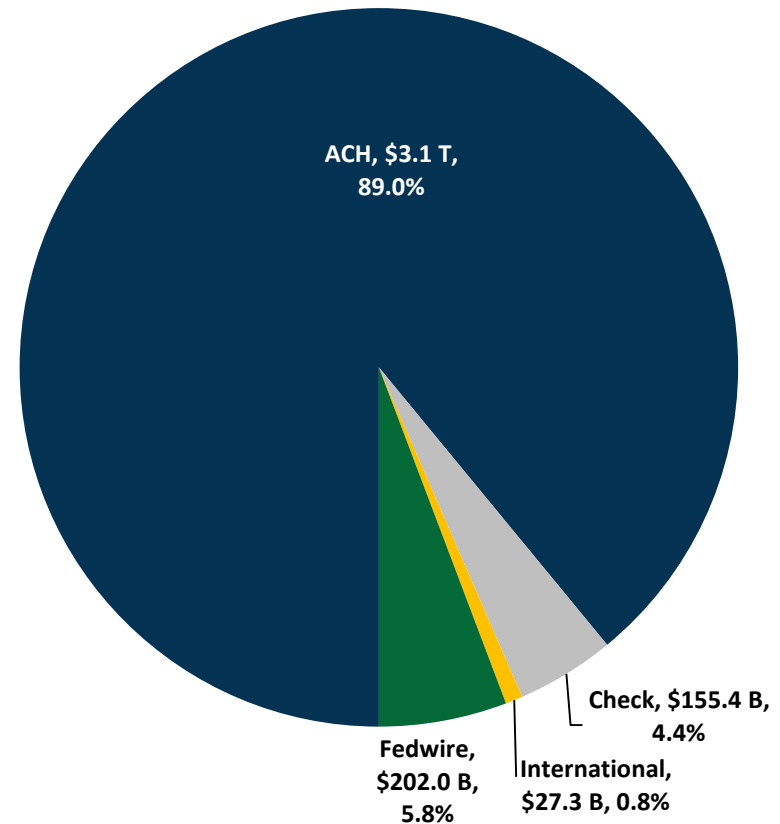
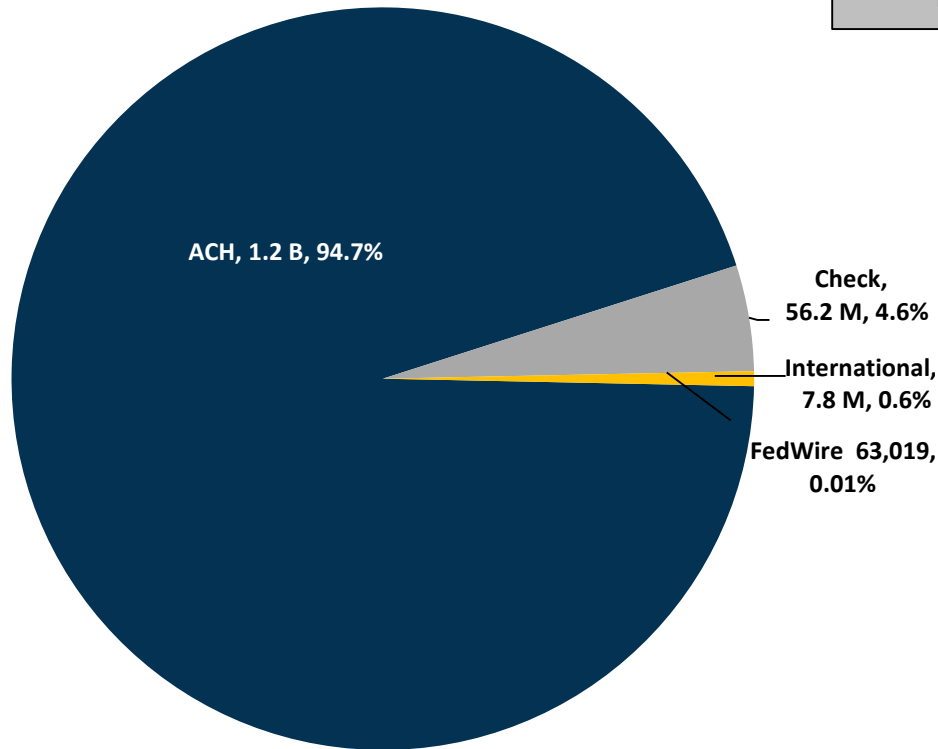
- ✓ Provide understanding of Improper Payments Landscape.
- ✓ Highlight Fiscal Service's efforts related to the *Payment Integrity Center of Excellence*.
- ✓ Review *Payment Integrity Center of Excellence*'s vision, mission, goals, stakeholders, and areas of focus.
- ✓ Walkthrough cross-governmental Payment Integrity opportunities.

FY18 Treasury Disbursed Payments

**Total Volume:
1.2 Billion**

**87% of Federal
Disbursements
by volume**

**Total Amount:
\$3.5 Trillion**



■ ACH ■ Check ■ International ■ Fedwire

Within the \$3.5+ trillion payments disbursed annually, less than ½% (5.9 million transactions) require a “second touch”

Improper Payments Background

- Within the over \$3.5 trillion payments disbursed annually:
 - Less than ½% (5.9 million transactions) require exception processing
- Approximately **\$141 billion** are estimated to be “**improper payments.**”
 - **Improper payments** occur when either:
 - Federal funds go to the wrong recipient,
 - the recipient receives the incorrect amount of funds (either an underpayment or overpayment),
 - documentation is not available during review to discern that a payment was proper, or
 - the recipient uses Federal funds in an improper manner.

While not all improper payments are fraud and not all improper payments represent a loss to the government, *all improper payments* degrade the integrity of government programs and compromise citizens' trust in government.

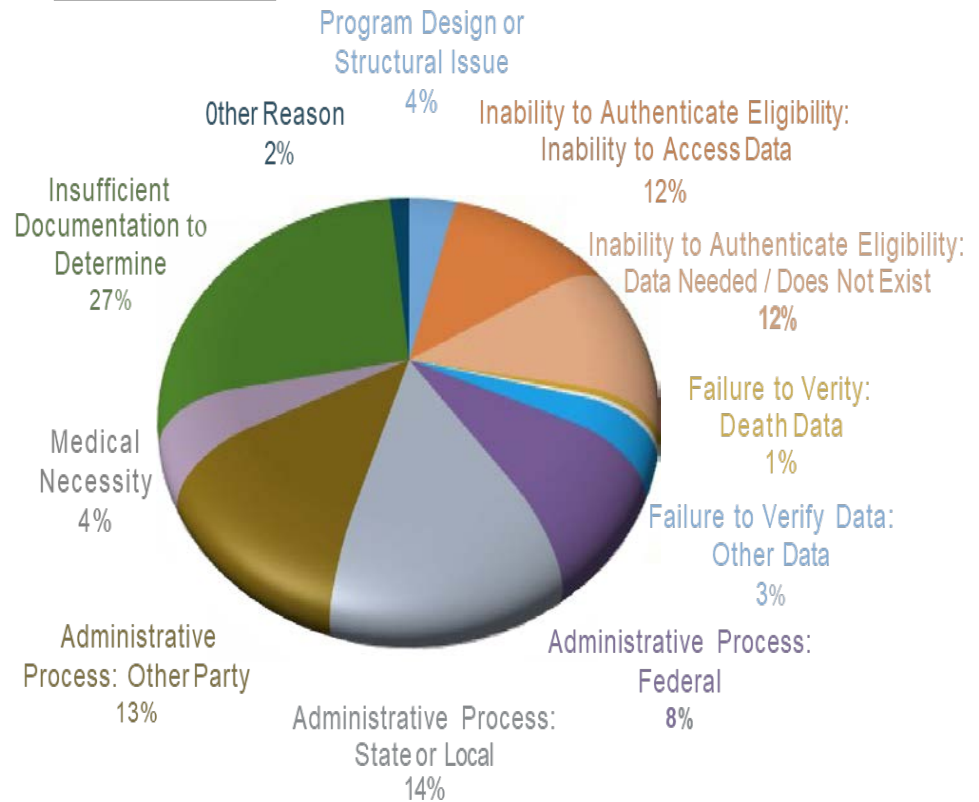
Treasury's Impact on Improper Payments

Treasury provides and will continue to focus on solutions to address the **administrative process, eligibility authentication, and data verification** root causes of improper payments.



Individual agencies are best equipped to address improper payments associated with **insufficient documentation, medical necessity (i.e. incorrectly assessing the need for a medical procedure), and program design or structural issues (e.g. Medicare and Medicaid Program Integrity Centers).**

FY2018



Source: OMB, Improper Payments and Fraud Prevention International Forum, December 2018

Payment Integrity Center of Excellence



VISION

Be a trusted Government-wide partner to **provide actionable business insights and solutions** that transform how agencies approach identification, prevention, and recovery of improper payments.



MISSION

Provide **Government-wide partnership, guidance, and customer centric solutions** that aid in the prevention and recovery of improper payments due to fraud, waste, and abuse.

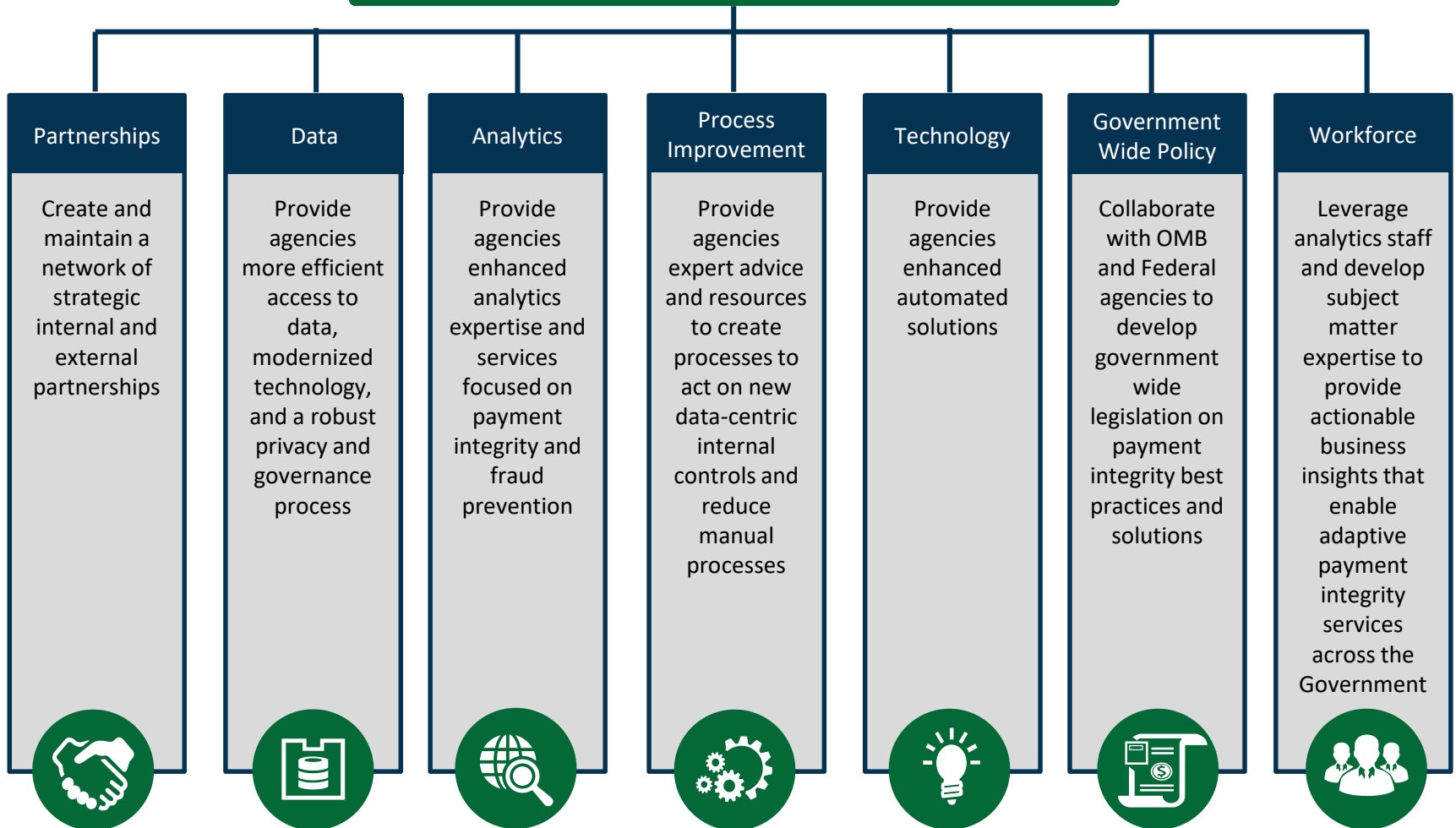


GOALS

- Maximize use of Government and other accessible data
- Improve the accuracy and completeness of data
- Address agency challenges by offering comprehensive data analytics and other services
- Strengthen cross-government partnerships and communications
- Identify opportunities to strengthen Government-wide policy, guidance, and legislation

Payment Integrity Areas of Focus

Payment Integrity Center of Excellence



Payment Integrity Throughout the Payment Lifecycle



Identify people who shouldn't be paid & payments that should not have been made

1

Identify Improper Payments

Identify the payment or payee that should not be paid

2

Prevent (Pre-Award, Pre-Payment)

Prevent the award or payment before sending to Treasury

3

Recall (At time of Payment)

Hold for further analysis or cancel payment before disbursement

4

Recover (Post-Payment)

Request recovery of funds from the Financial Institution

5

Investigate

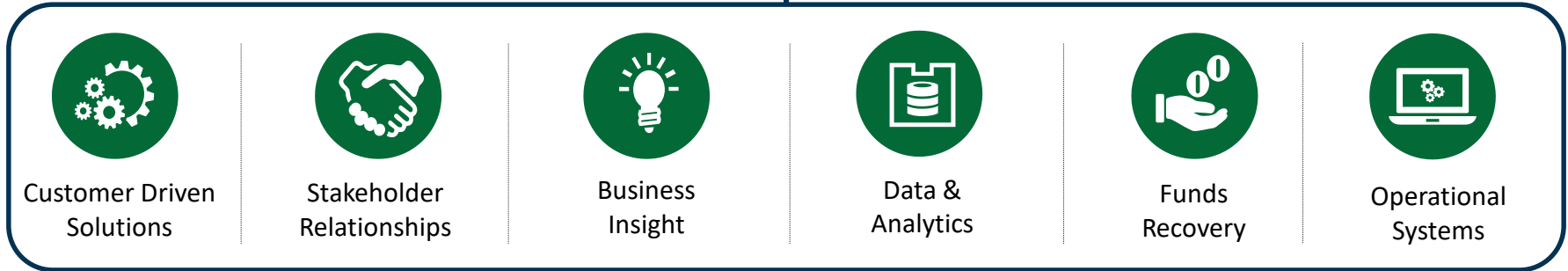
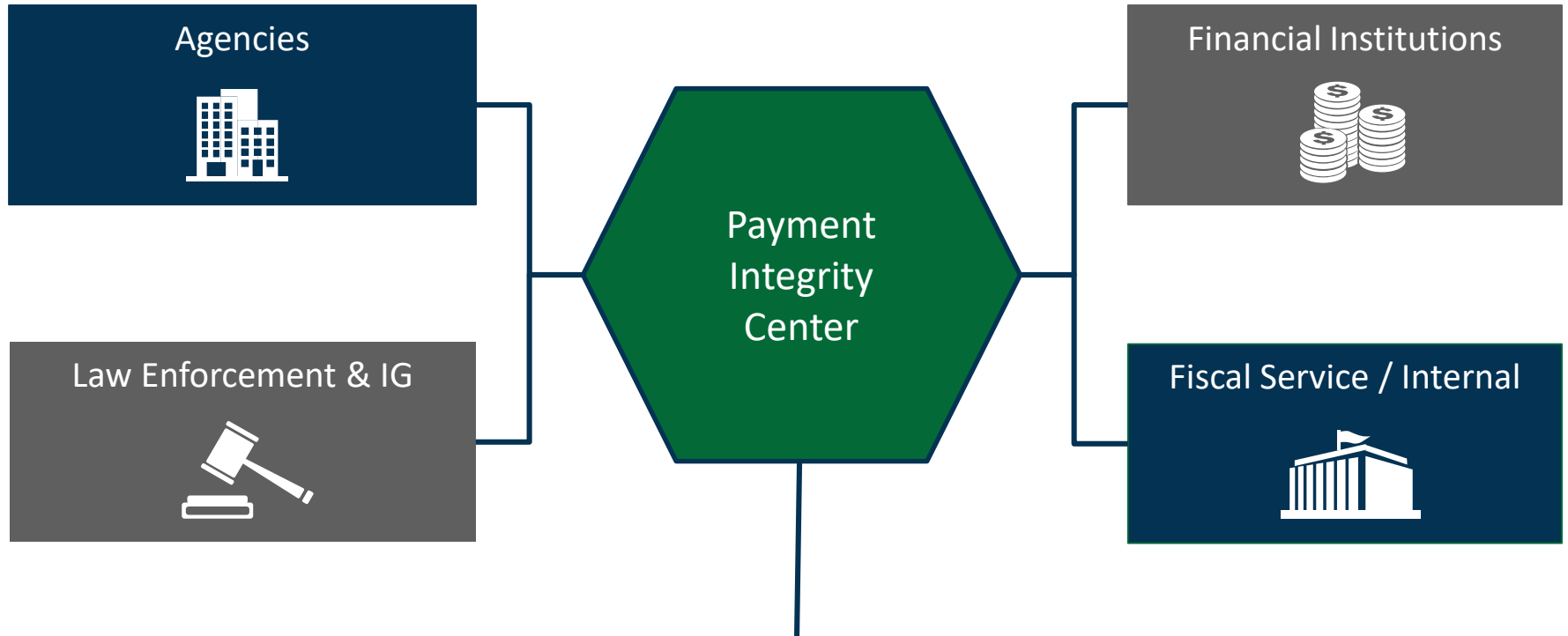
Refer for investigation if criminal activity is determined

6

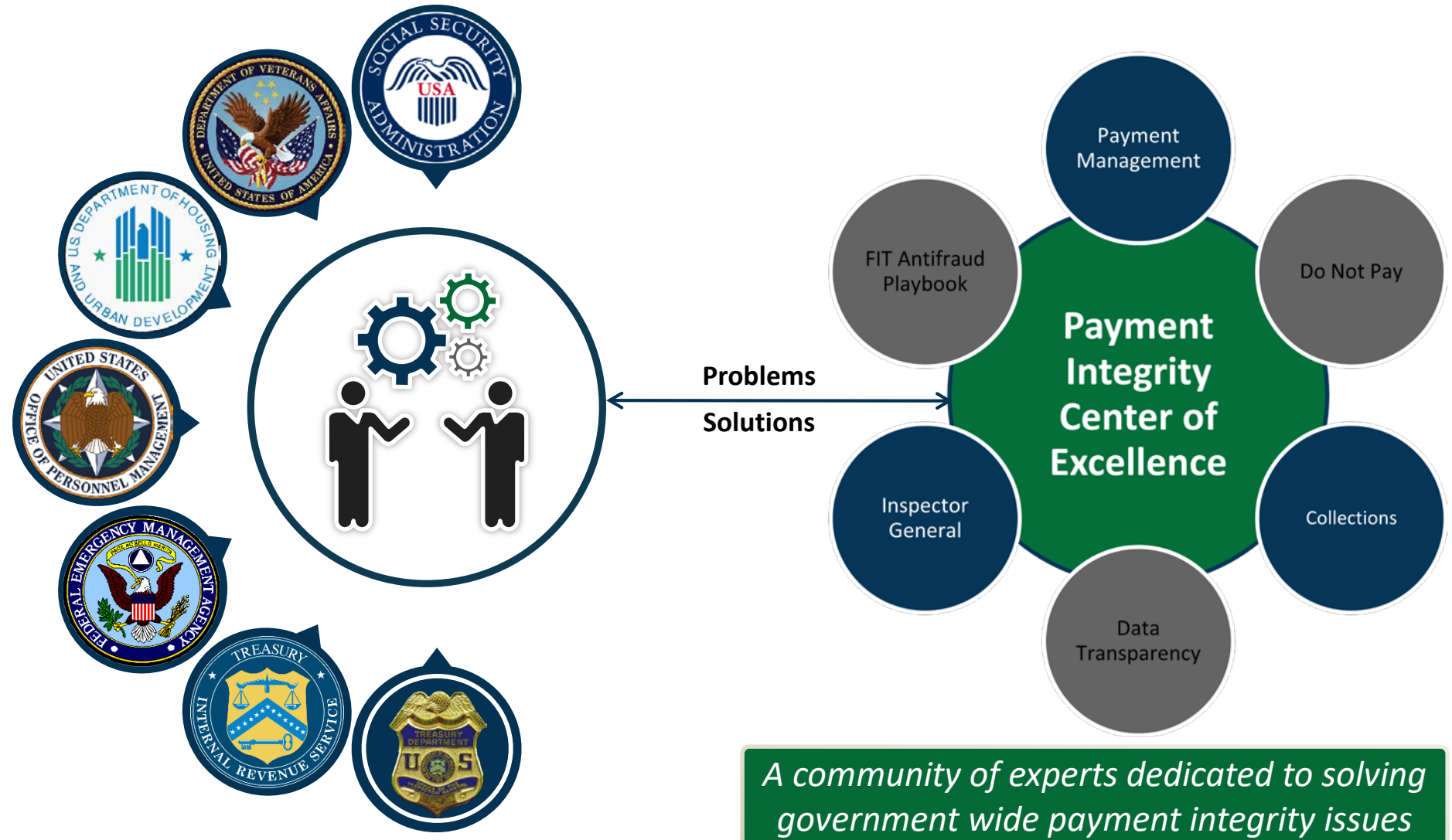
Share

Share outcomes and discoveries with stakeholder community

Payment Integrity Stakeholders & Customers



Payment Integrity Center of Excellence



Agency Partnership Engagement Meeting

How can we partner to solve Payment Integrity issues?



Agency Partnership Engagement Meeting
April 10 -11

Seven Topics of Common Interest

Payments to the Deceased

Inter-Agency Benefit Eligibility

Payee Validation (Banking Info, Address)

High Risk Financial Institutions

Compromised Payees and Accounts

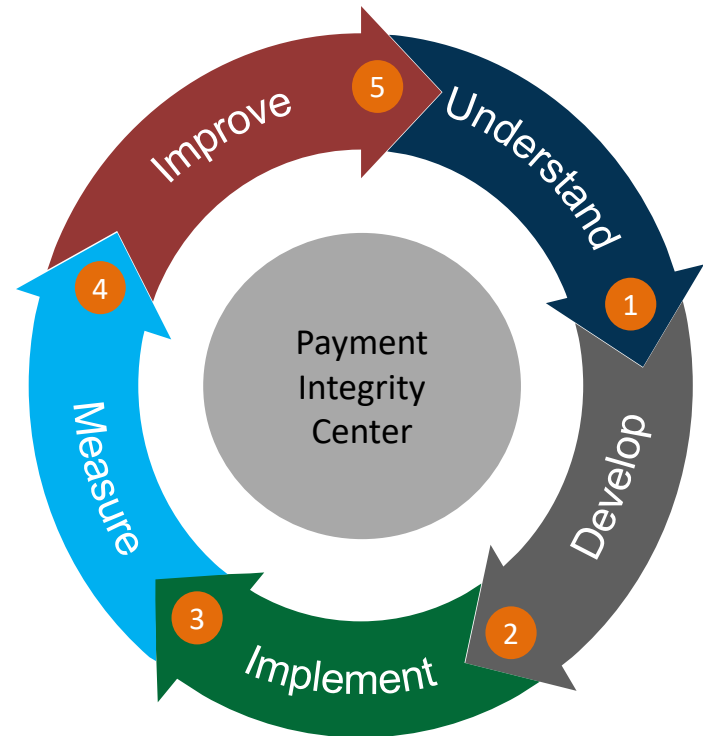
Payee Characteristics (DOB, DOD, Incarcerated, etc.)

Updates to 31 CFR Part 210

Payment Integrity Solution Lifecycle

Repeatable process for development of Payment Integrity Solutions

- 1 Understand Business Problem**
Collaborate with customers, understand business needs
- 2 Develop Customer-centric Solution**
Develop innovative analytical solutions with business insights
- 3 Implement Solution**
Provide actionable and tangible outcomes that solve operational business problems.
- 4 Measure Value**
Evaluate results and effectiveness of process improvements
- 5 Improve**
Share best practices with stakeholders through training and outreach



Deceased Payee Analysis

Step 1 – Understand the Business Problem

How big is the problem?

Identify payments made after date of death

Who is paying them?

Identify agencies and payment types

Evaluate eligibility rules

Determine if payments to deceased individuals were appropriate



Compile data sources

Utilize multiple sources of deceased payee data

Data Quality Analysis

Evaluate payees and dates of death to ensure consistent info

Recovery Efforts

Determine if funds were recovered from post payment events

What's Next?



Agency Partnership Engagement

Quarterly Meetings
Review cross government initiatives
Solicit agency requirements
Share best practices



OMB Workgroups

Participate in CAP Goal 9 Workgroups

- *Strategic Data Use*
- *Monetary Loss – Root Causes*

Provide subject matter expertise



Implement Customer Solutions

Initiate Customer Driven Projects
Apply repeatable Payment Integrity Solution Lifecycle
Utilize core competencies to execute solutions
Demonstrate tangible value through prevention and recovery

Contact Information

Primary Contact:

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Secondary Contact:

Name: Amanda Werb

Title: Supervisory Business Analyst, Payment Integrity Center of Excellence

Email: amanda.werb@fiscal.treasury.gov



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Lunch One Hour



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Do Not Pay Business Center

New Databases for Do Not Pay
Help Us Help You

Derek Pachla
July 23, 2019

Current Data

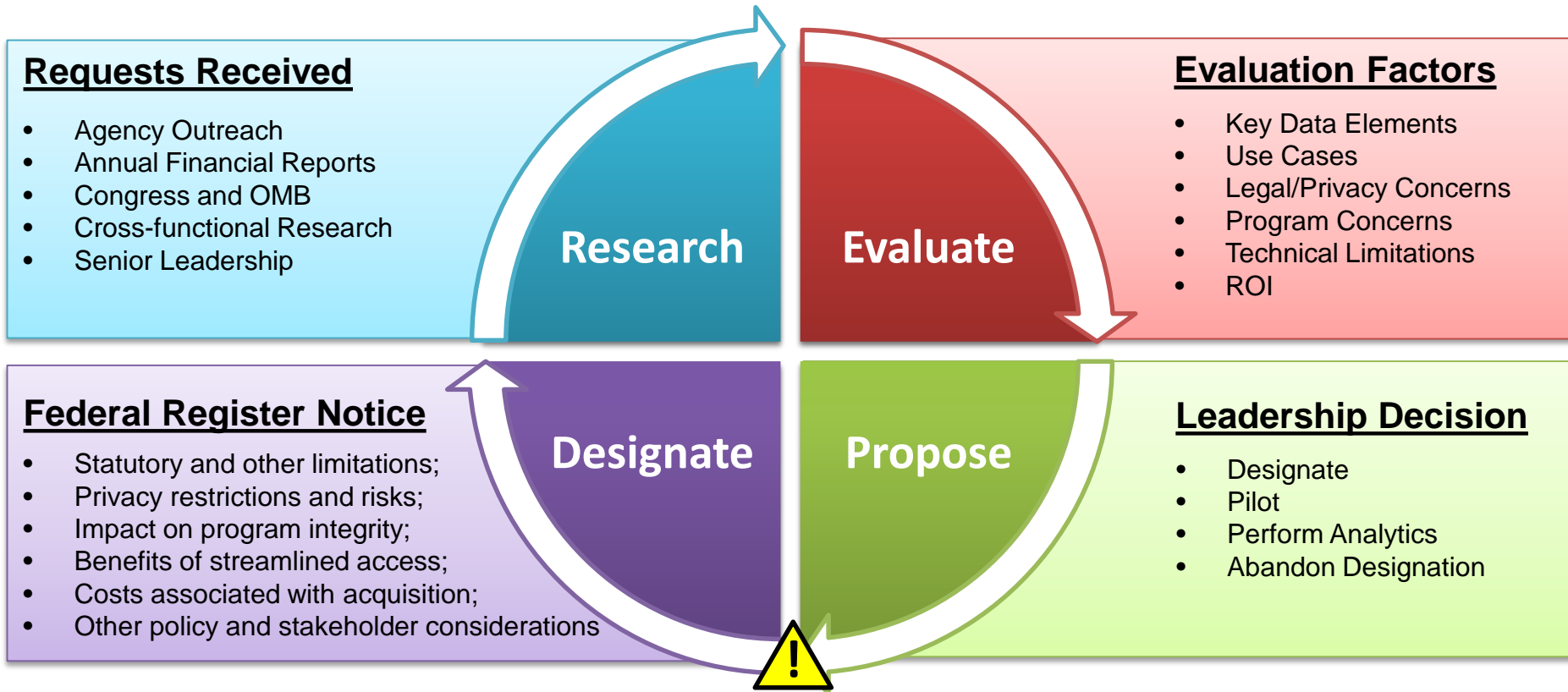


Portal Databases	Owner(s)
American InfoSource Death Data	American InfoSource
Credit Alert Verification and Reporting System	Various (ED, DOJ, HUD, SBA, USDA, VA)
Death Master File	Social Security Administration
List of Excluded Individuals and Entities (P)	Dept. of Health and Human Services OIG
List of Excluded Individuals and Entities (R)	Dept. of Health and Human Services OIG
Office of Foreign Assets Control	Dept. of the Treasury (OFAC)
System for Award Management Entity Registration	General Services Administration
System for Award Management Exclusions (P)	General Services Administration
System for Award Management Exclusions (R)	General Services Administration
Treasury Offset Program (TOP) Debt Check	Dept. of the Treasury (Fiscal Service)
Reserve and Active Military Death Data	Department of Defense
Overseas Death Data	Department of State

Analytics Databases	Owner(s)
Publication 78 Tax Exemption Data	Internal Revenue Service
990-N Tax Exemption Data	Internal Revenue Service
Automatic Revocation List	Internal Revenue Service



Data Acquisition Process



New Data in Release 5.7

Department of State Overseas Death Data

Available: February 9, 2019 in Payment Integration

The U.S. Department of State collects information on American Citizens who are deceased or presumed deceased in foreign countries, as reported by U.S. embassies or consulates upon its receipt of a foreign death certificate or finding of death by a local competent authority.

Field	Description
Name	The name of the deceased individual.
TIN	Tax Identification Number of the deceased individual.
DOD	The date of death for the deceased individual.
DOB	The date of birth for the deceased individual.



New Data in Release 5.9

Department of Defense Death Data

Available: March 22nd, 2019 in Online Single Source, Batch Match, Continuous Monitoring, and Payment Integration

The Defense Manpower Data Center's Reporting System (DMDCRS) compiles information on active and reserve military personnel. An extract of this data that indicates deceased individuals is now available in the Do Not Pay Portal.

Field	Description
Name	The name of the deceased individual.
TIN	Tax Identification Number of the deceased individual.
DOD	The date of death for the deceased individual.
DOB	The date of birth for the deceased individual.



Benefits of Additional Death Data

- Wider Coverage
- Improved timeliness
- Potentially higher match confidence
 - AIS engages in additional investigation to ensure data quality;
 - Department of State undergoes rigorous vetting through the magistrate system prior to declaring an individual deceased.
 - Department of Defense is the authoritative source for active and reserve military information.

Building a Data Landscape

Reporting Source	DMF Public	American InfoSource	Department of State	Department of Defense
Family Members	✓			
Funeral Homes	✓			
Hospitals	✓			
Federal Agencies	✓			
Postal Authorities	✓			
Financial Institutions	✓			
Obituaries		✓		
Probate Court		✓		
Consular Reports			✓	
Military				✓

Data in Action

+ Filter Result

PAYMENTS MATCHED:

Advanced Filtering Criteria Applied: Adjudication Criteria: NOT ADJUDICATED, NOT ADJUDICATED (PENDING) | Datasource Criteria: DOS

7 Results

SHOW: 10 Results

Payment Adjudication Status	PAM Payment Status	Total # of Matches	Data Sources	Payment Type	Payee Name	SSN/EIN/TIN	Payment Amount
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	BURGESS BLAKE	896903187 / SSN	7,846.00
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	FROST BILL	896903180 / SSN	4,341.00
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	LOPEZ DESTINY	896903189 / SSN	6,036.00
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	MENDENHALL ERIC	896903184 / SSN	5,786.00
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	TAYLOR BEN	896903183 / SSN	1,181.00
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	TEDDER CHUCK	896903188 / SSN	6,715.00
NOT ADJUDICATED	ISSUED	1 Matches	DOS	SALARY	VICENTE MARIANA	896903182 / SSN	8,178.00

Showing 1 to 7 of 7

Download Results

Go to Adjudicate Multiple Page

< Previous | Next >

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Received Date 11/17/2018

Match Date/Time 11/15/2018 7:56 PM

Stop Payment Rules Applied 0

Whitelist Reason None

Business Rules Applied 0

Match Adjudication Status NOT ADJUDICATED

Payment Adjudication Status NOT ADJUDICATED

Payment Information

Payee Name BURGESS BLAKE
 TIN 896903187 / SSN
 DUNS 123456789
 Address
 Agency Location Code 14019999
 Agency Group Code AGENCYGROUPCODEAGENCYGRO
 UPCODE
 Agency Account ID T1T1T1T1T1T1T1T1T1
 Schedule # SCHD0000006793
 Payment ID Code
 Payment ID PAYDNPTTEST0000990510
 Payment Amount 7,846.00
 Payment Date 11/15/2018
 Payment Type CAI ADV

DOS Match Information: CONCLUSIVE/TIN + NAME MATCH

Name BURGESS BLAKE
 TIN 896903187
 DOD 09/12/2008
 DOB 10/26/1984

Data in Action

[+ Filter Result](#)

PAYMENTS MATCHED:

Advanced Filtering Criteria Applied: Adjudication Criteria: NOT ADJUDICATED, NOT ADJUDICATED (P

27 Results

Payment Adjudication Status	PAM Payment Status	Total # of Matches	Data Sources	Payment Type	Payee Name
NOT ADJUDICATED	UNKNOWN	1 Matches	DOD	SALARY	ABBY SM
NOT ADJUDICATED	STOPPED	1 Matches	DOD	SALARY	ADALYNN
NOT ADJUDICATED	ISSUED	6 Matches	DOD	SALARY	ADAM BO
NOT ADJUDICATED	ISSUED	6 Matches	DOD	SALARY	ADRIENNI
NOT ADJUDICATED	ISSUED	1 Matches	DOD	SALARY	AHAAN TH
NOT ADJUDICATED	ISSUED	6 Matches	DOD	SALARY	AL MITCH
NOT ADJUDICATED	UNKNOWN	6 Matches	DOD	SALARY	ANDREA ,
NOT ADJUDICATED	ISSUED	6 Matches	DOD	SALARY	ANDREW
NOT ADJUDICATED	ISSUED	6 Matches	DOD	SALARY	ANNA SW
NOT ADJUDICATED	ISSUED	6 Matches	DOD	SALARY	ANTHONY

Showing 1 to 10 of 27

[Download Results](#)

[Go to Adjudicate Multiple Page](#)

SENSITIVE BUT UNCLASSIFIED

Received Date: 2/26/2019

Match Date/Time: 02/27/2019 1:42 PM

Stop Payment Rules Applied: 0

Whitelist Reason: None

Business Rules Applied: 0

Match Adjudication Status: NOT ADJUDICATED

Payment Adjudication Status: NOT ADJUDICATED (PENDING)

Payment Information

Payee Name: ADALYNN GRAHAM
 TIN: 423781334 / TIN
 DUNS
 Address
 Agency Location Code: 00000300
 Agency Group Code: AGC12345AGC
 Agency Account ID: 1123456789
 Schedule #: SCHD0000008003
 Payment ID Code
 Payment ID: PAYDNPTTEST0000997021
 Payment Amount: 6,768.00
 Payment Date: 2/27/2019

DOD Match Information: CONCLUSIVE/TIN + NAME MATCH

Name: ADALYNN GRAHAM
 TIN: 423781334
 DOD: 12/15/2016
 DOB: 04/25/1952

Data in Action

\$ PAYMENTS: MATCH RESULTS FOR PAYMENT ? 🖨️

SENSITIVE BUT UNCLASSIFIED

[Filter Payments Matched](#) | Match Results For Payment

Payment Information

Payment Amount 500.00	SSN/EIN/TIN 282701376 / SSN	Schedule # SCHDBC56001076	Agency Location Code 14019999
Payment Date 9/21/2018	Payee Name JANGO FETT	Payment ID GDPMTID561076	Agency Group Code DDDDDDDDDDDDDDDDDDDDDDDDDDDDDDDDDDDDD
PAM Payment Status ISSUED	Payment Type SALARY	Payment ID Code	Agency Account ID 1002003
Payment Adjudication Status NOT ADJUDICATED ❌			

SSA DEATH MASTER FILE ⓘ 1 Results SHOW: 10 ▾ Results

<input type="checkbox"/>	Match Adjudication Status	Whitelist Reason	Date of Death	Verify or Proof	DOD to Payment Date Difference	TIN	Payee Name	Match Level
<input type="checkbox"/>	NOT ADJUDICATED	NONE	05/26/2016	V	848	282701376 / SSN	JANGO FETT	CONCLUSIVE

< Previous | Next >

Adjudicate Selected

Showing 1 to 1 of 1

AMERICAN INFOSOURCE DEATH DATA - OBITUARY ⓘ 1 Results SHOW: 10 ▾ Results

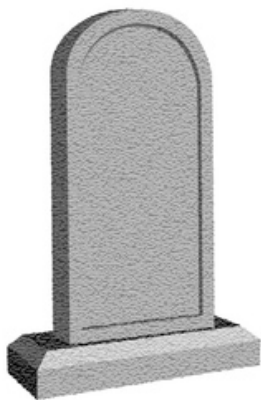
<input type="checkbox"/>	Match Adjudication Status	Whitelist Reason	Date of Death	DOD to Payment Date Difference	TIN	Payee Name	Match Level	Match Type
<input type="checkbox"/>	PROPER - BUSINESS R...	NONE	08/01/2018	51	282701376 / SSN	JANGO FETT	CONCLUSIVE	TIN + N

< Previous | Next >

Adjudicate Selected

Showing 1 to 1 of 1

Feedback Needed – Databases Wanted!



Death Data



Income Data



Prisoner Data



Court Data

What's on your agency's "Most Wanted List?"

Contact your Senior Agency Outreach Liaison and let us know!

Questions

Derek Pachla
Do Not Pay Business Center
Management and Program Analyst
Derek.Pachla@fiscal.treasury.gov
202-504-3524

Do Not Pay Agency Support Center
855-837-4391
donotpay@fiscal.treasury.gov
fiscal.treasury.gov/dnp





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Computer Matching Agreement (CMA) Process

Derek Pachla
July 23, 2019

What is a CMA?

- Written agreement between originating or source agency and recipient agency
 - Describes the purposes and procedures of the matching program
 - Establishes protections for matching records involving personally identifiable information maintained in a system of records
 - Regulated by provisions of the Computer Matching and Privacy Protection Act of 1988



What is a System of Records?

- A “System of Records” is a group of any records
 - under the control of an agency,
 - from which information is retrieved by the name of an individual or by some identifying number, symbol, or other identifier assigned to the individual.

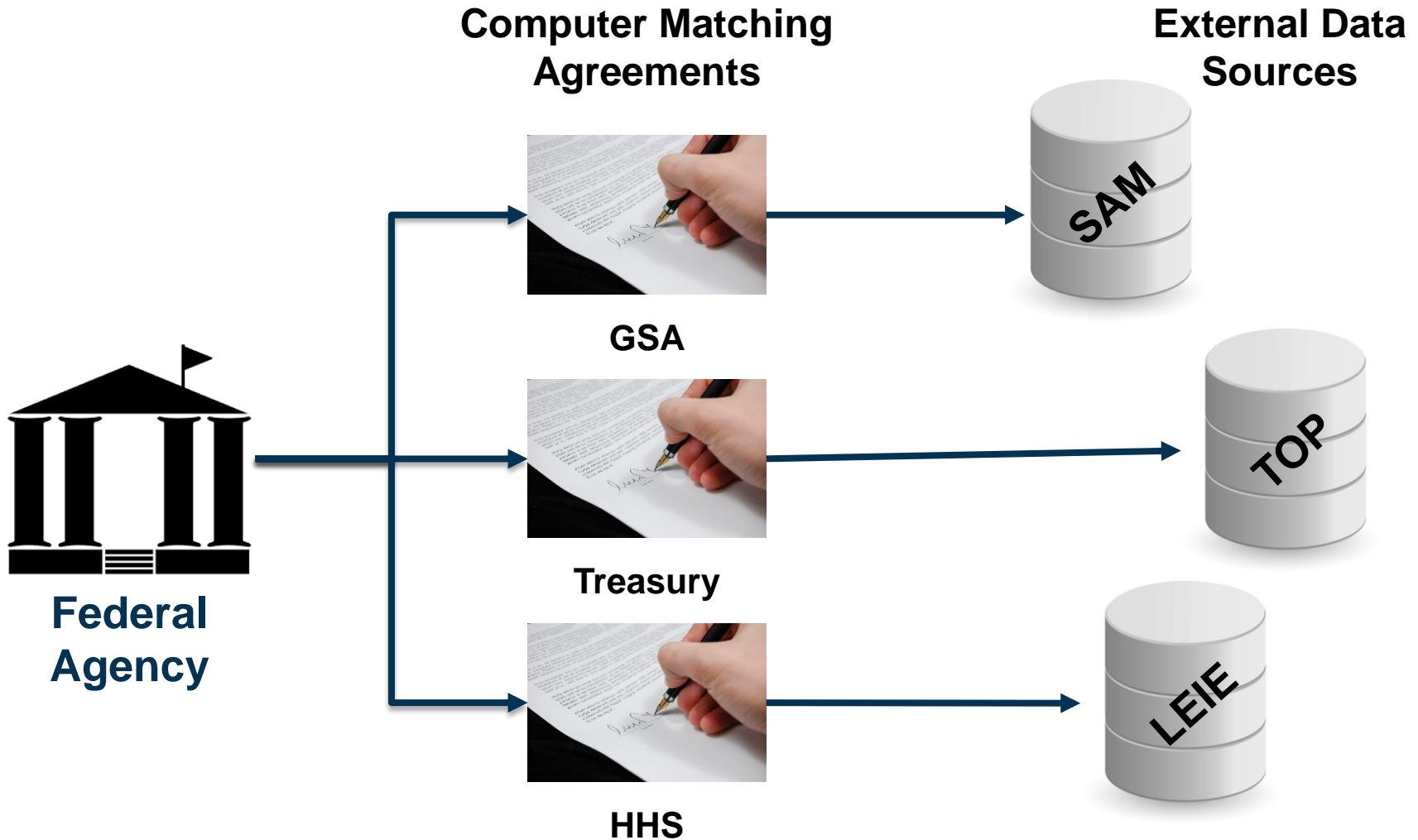


What is a SORN?

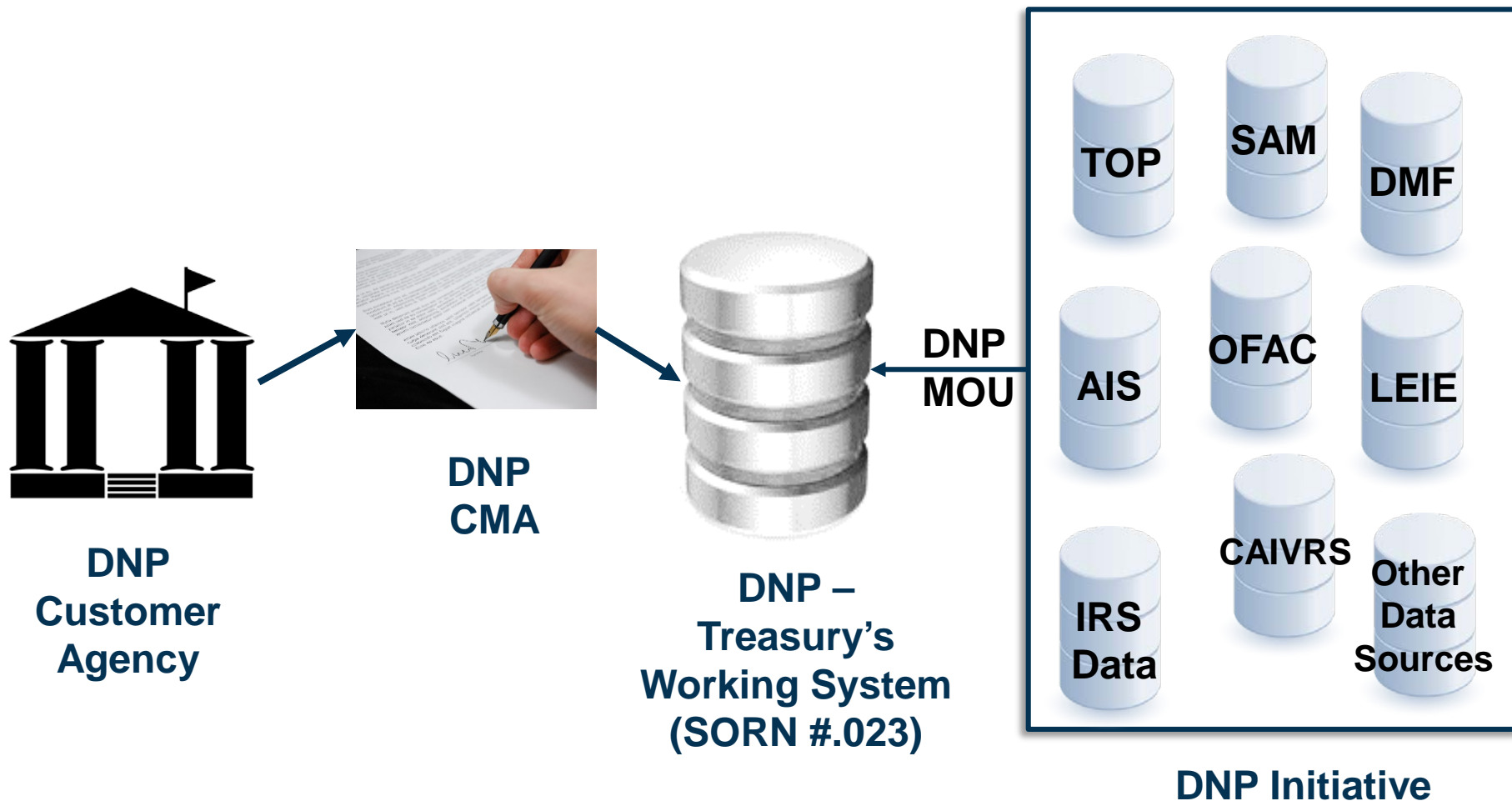
A System of Records Notice (SORN) is a notice published in the Federal Register that—

- Identifies:
 - the purpose of a system of records
 - The individuals are covered by information in a system of records.
 - the categories of records that are maintained about the individuals.
 - how the information is shared by the agency (routine uses).
- Informs the public of the existence of records
- Provides notice to the public of their rights and procedures under the Privacy Act for accessing and correcting information maintained by the agency on an individual.

CMA Process without DNP SORN



Benefits of the DNP SORN



Why is it Important?

	January XXXX	February XXXX
Total Payments	\$191,225,037,880	\$155,985,536,614
SAM Exclusions- Public Matches	\$876,707,274	\$840,440,999
SAM Exclusions- Public Improper	\$3,838	\$2,698
Matches as % of Payments	0.4585%	0.5388%
Improper as % of Matches	0.0004%	0.0003%



	January XXXX	February XXXX
Total Payments	\$191,225,037,880	\$155,985,536,614
SAM Exclusions- Private Matches	\$1,593,129	\$2,638,512
SAM Exclusions- Private Improper		
Matches as % of Payments	0.001%	0.002%
Improper as % of Matches		

Reduction in Matches 99.75%

PACER			
Name	TIN	State	Payment
John Smith	123456789	CA	\$100.00

SAM Exclusions Public		
Name	TIN	State
John A. Smith	-	AL
John B. Smith	-	AZ
John C. Smith	-	AR
John D. Smith	-	DE
John E. Smith	-	HI
John F. Smith	-	IL
John G. Smith	-	IA
John H. Smith	-	IN
John I. Smith	-	MO
John J. Smith	-	OH
John K. Smith	-	CA
John L. Smith	-	UT
John M. Smith	-	ID
John N. Smith	-	TN
John O. Smith	-	KY
John P. Smith	-	FL
John Q. Smith	-	GA
John R. Smith	-	RI
John S. Smith	-	VT
John T. Smith	-	MA
John U. Smith	-	PA

PACER			
Name	TIN	State	Payment
John Smith	123456789	CA	\$100.00

SAM Exclusions Private		
Name	TIN	State
John A. Smith	-	AL
John B. Smith	012345678	AZ
John C. Smith	234567890	AR
John D. Smith	345678910	DE
John E. Smith	456789012	HI
John F. Smith	567890123	IL
John G. Smith	678901234	IA
John H. Smith	789012345	IN
John I. Smith	890123456	MO
John J. Smith	-	OH
John K. Smith	123456789	CA
John L. Smith	102030405	UT
John M. Smith	901234567	ID
John N. Smith	987654321	TN
John O. Smith	876543210	KY
John P. Smith	-	FL
John Q. Smith	765432108	GA
John R. Smith	654321098	RI
John S. Smith	543210987	VT
John T. Smith	432109876	MA
John U. Smith	032109875	PA

What are Some CMA Triggers?



U.S. Citizen



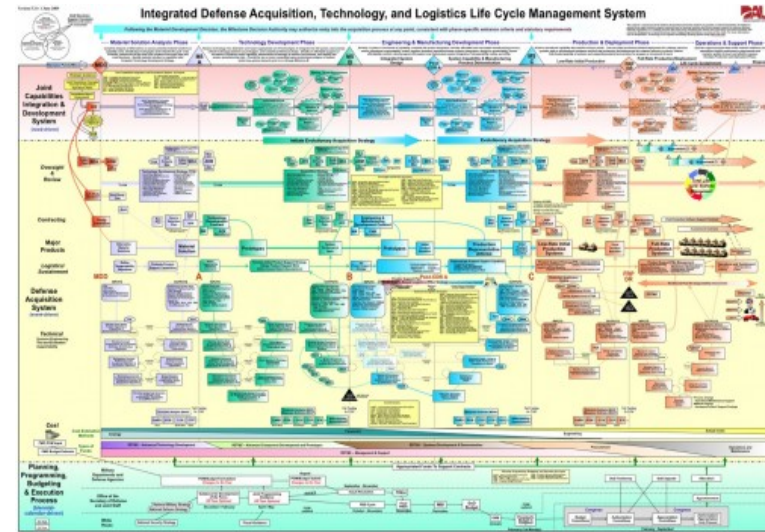
Deceased



CMA Triggers



Matching to provide cash or in-kind assistance for Federal benefit programs



Matching to make procurement decisions

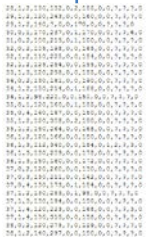


CMA Triggers

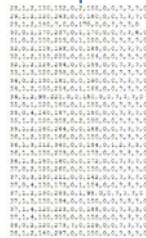
CMA



DNP SORN



Customer SORN



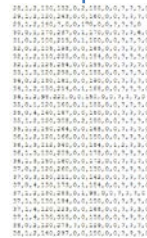
Matching files each of which is aligned to a SORN



DNP Onboarding



DNP SORN



Matching a file that is aligned to a SORN to another file not covered by a SORN



CMAs are NOT Required for...

- Online searches in the DNP Portal
- Statistical matches
- Matching program between **public** data sources or those not aligned to a system of records
- Matching programs that do not involve verifying eligibility in a Federal benefit program
 - Example: When the purpose of the match is to make procurement decisions
- Payment Integration (*Treasury handles this for you in the payment stream*)

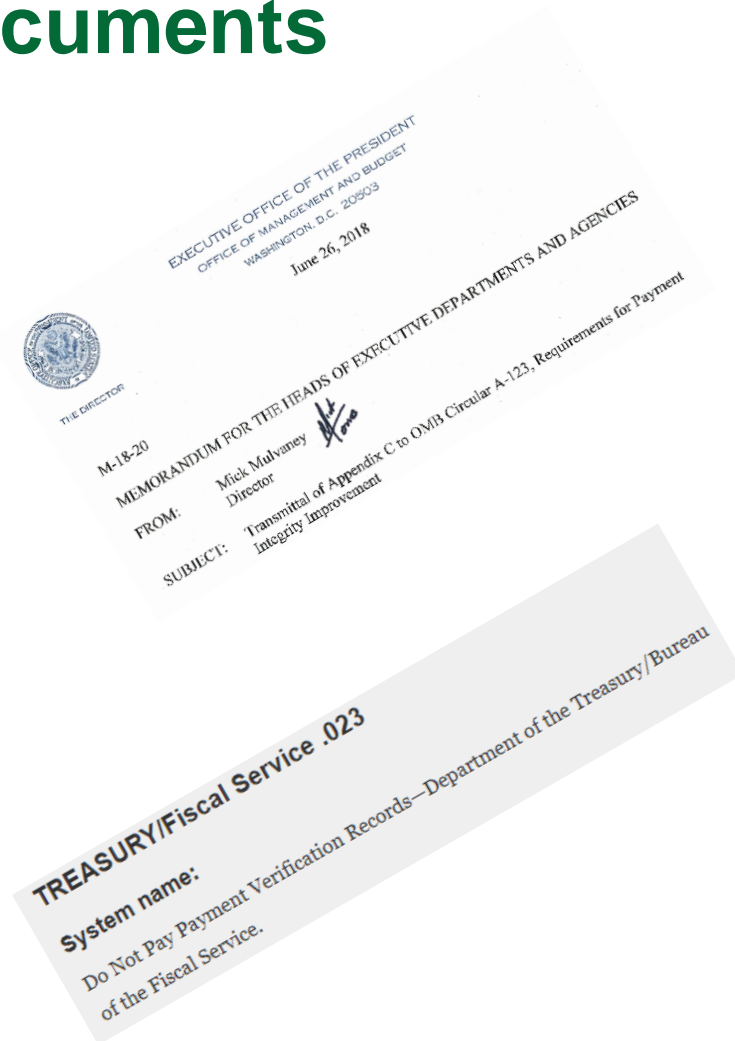
DNP and CMAs

Two Very Important Documents

Office of Management and Budget
OMB M-18-20 Requirements for Payment
Integrity Improvement

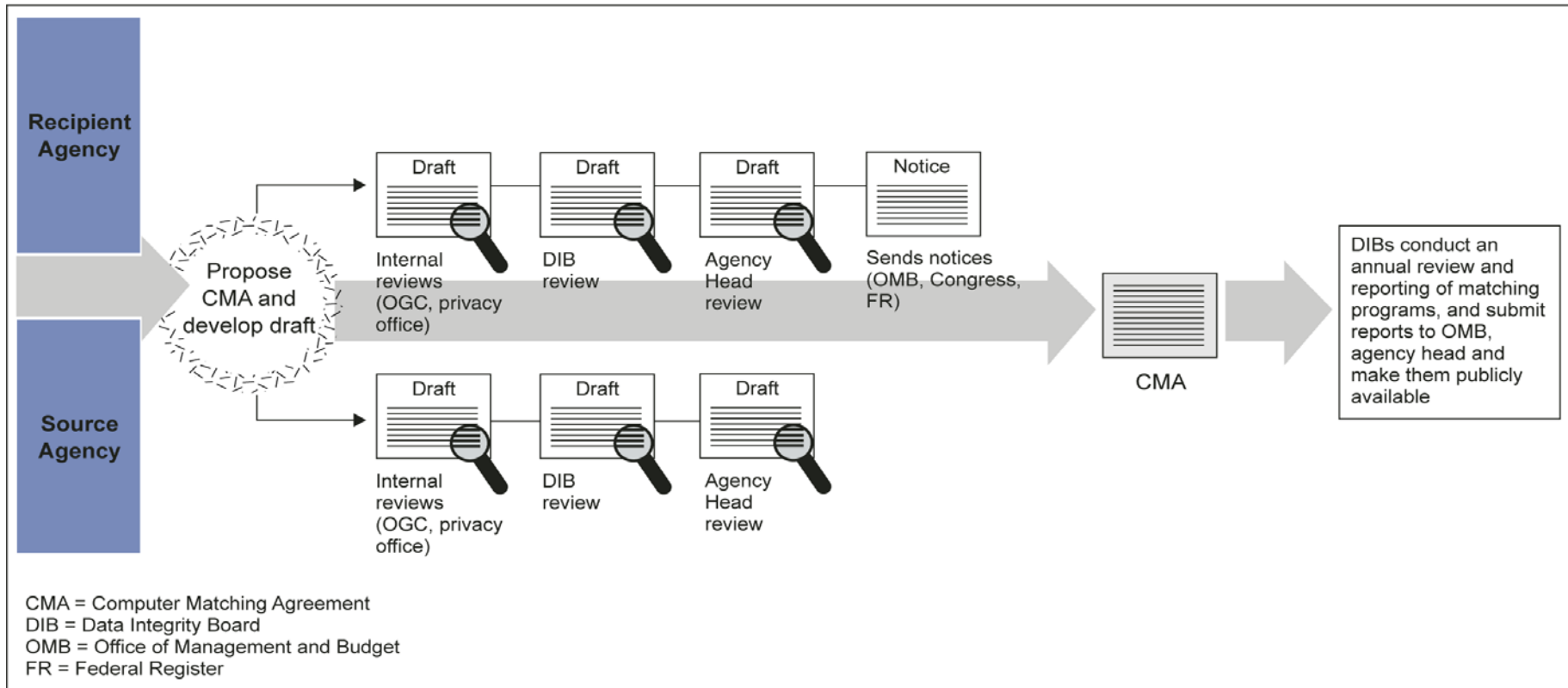
Do Not Pay Verification Records
System of Records Notice (SORN
#.023) JAN 2014

Enables DNP to derive data, retain records,
and enter into CMAs



CMA Process - Documentation

Recipient Agency = DNP Customers
Source Agency = Treasury's DNP



Source: GAO analysis of Privacy Act requirements and agency documentation.

CMA Process – OMB M-18-20

Topic	Excerpt
CMA Lifespan	Matching programs have a termination date of less than three years. Agencies may renew these CMAs for a maximum of three years.
Review Period	Data Integrity Boards (DIBs) have a 60-day deadline for review of a CMA. If circumstances do not permit the DIB to approve or disapprove the DNP matching program within 60 days, the DIB shall provide a brief memorandum to the head of the agency (or to the Inspector General in cases where the Inspector General proposed the matching program) describing the necessity for the delay.
Cost Benefit Analysis	Agencies' cost-benefit analyses for a DNP matching program need not contain a specific estimate of any savings.
Multilateral CMAs	A computer matching agreement that involves more than two agencies

Questions





DNP DO
NOT
PAY

BUREAU OF THE FISCAL SERVICE

Break

15 Minutes



DNP DO
NOT
PAY

BUREAU OF THE FISCAL SERVICE

Do Not Pay Business Center

Best Practices

Closeout & Questions



DNP Business Center Says

Thank You!